NIH

Individual Pre-doctoral (F31, F30) and Post-doctoral (F32) Fellowships

New York Academy of Sciences March 20, 2019

Jaime S. Rubin, Ph.D.

Dept. of Medicine

College of Physicians and Surgeons

Columbia University



ADVISORY COMMITTEE TO THE DIRECTOR

Next Generation Researchers Initiative Working Group

ACD Working Group on Biomedical Workforce

ACD Physician-Scientist Workforce

ACD Working Group on Diversity



ADVISORY COMMITTEE TO THE DIRECTOR

Biomedical Workforce Task Force

Improving graduate student and postdoctoral training

- A. Put individual development plans in place for all trainees
- B. Reduce the length of graduate training
- C. Provide F30 and F31 awards from all Institutes/Centers
- D. Increase postdoctoral stipends and consider policies on benefits
- E. Increase support for K99/R00 and shorten eligibility period
- F. Increase support for Early Independence Awards

Topics to be Discussed

- NIH Fellowship Overview
 - Career Timeline
 - Funding Opportunity Announcements
 - Funding Levels and Success Rate
- Fellowship Application Review Process
 - Application Submission, Review, and Award Timeline
 - Institutes and Study Sections
 - Scoring System: Impact Scores
 - Institute Funding Paylines
- **■** Fellowship Application Review Criteria
- Components of a Fellowship Application
- Approaches for Competitive Applications

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Timeline of Funding for Junior Investigators

Individual Fellowship
Training Grant
Mentor's Research
Grant

Graduate School

Post-doctoral Years Instructor/
Assistant
Professor

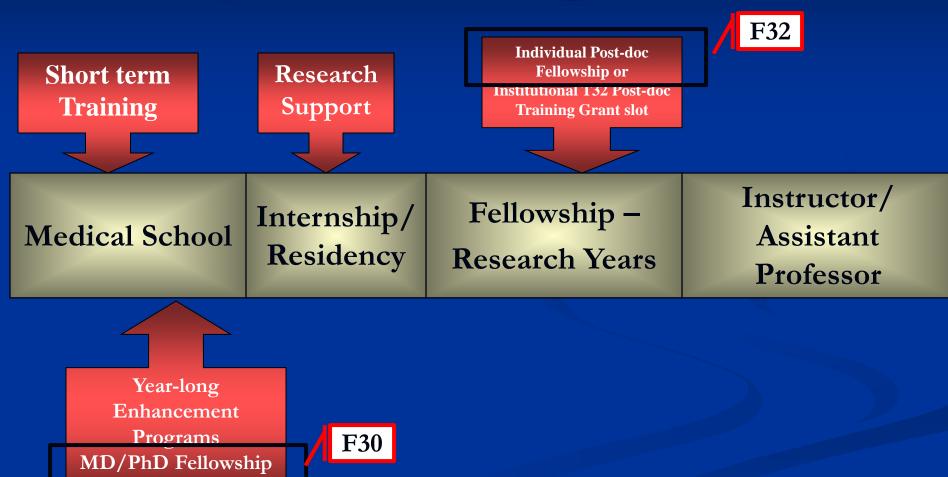
Timeline of Funding for Junior Investigators

F31 F32 **Individual Fellowship Individual Post-doc Fellowship Training Grant** Institutional T32 Post-doc **Mentor's Research Training Grant slot Mentor's Research Grant** Grant Instructor/ Post-doctoral **Graduate School** Assistant Years **Professor**

Timeline of NIH Funding for Junior Investigators



Timeline of NIH Funding for Junior Investigators



or Institutional T32

Fellowship Programs

Predoctoral Individual National Research Service Award (F31)

- Supports specific individual in research degree program (e.g. PhD candidate)
- Stipend, health fees, tuition, travel
- Review criteria:
 - Individual fellow
 - Mentor
 - Research project
 - Research training/Career Development environment

Postdoctoral Individual National Research Service Award (F32)

- Supports specific individual(e.g., PhD, MD, or MD/PhD trained)
- May be in degree program
- Stipend, health fees, tuition, travel
- Review criteria:
 - Individual fellow
 - Mentor
 - Research project
 - Research training/Career Development environment

Predoctoral MD/PhD or Other Dual-Doctoral Degree Fellowship (F30)

- Supports specific individual dual degree candidate: health professional doctoral degree (e.g., MD, DDS) and a research doctoral degree (e.g., PhD, DrPH)
- Stipend, health fees, tuition, travel
- Review criteria:
 - Individual fellow
 - Mentor
 - Research project
 - Research training/Career Development environment

Topics to be Discussed

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F30

Ruth L. Kirschstein Individual Predoctoral NRSA for MD/ PhD and other <u>Dual Degree Fellowships</u>

Individual fellowships for predoctoral training which leads to the combined MD/PhD and other dual Clinical/Research degrees.

Details

Niew Current Funding Opportunities

F31

Ruth L. Kirschstein <u>Predoctoral</u> Individual National Research Service Award

To provide predoctoral individuals with supervised research training in specified health and health-related areas leading toward the research doctoral degree (e.g., PhD).

Details

View Current Funding Opportunities

F32

Ruth L. Kirschstein <u>Postdoctoral Individual National</u> Research Service Award

To provide postdoctoral research training to individuals to broaden their scientific background and extend their potential for research in specified health-related areas.

Details

Niew Current Funding Opportunities



F31

Ruth L. Kirschstein Predoctoral Individual National Research Service Award

To provide predoctoral individuals with supervised research training in specified health and health-related areas leading toward the research doctoral degree (e.g., PhD).

Details



- Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship (Parent F31)
- Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research (Parent F31)

Funding Opportunity Announcement (FOA) Number

PA-19-195

- National Center for Complementary and Integrative Health (NCCIH)
- National Cancer Institute (NCI)
- National Eye Institute (NEI)
- National Human Genome Research Institute (NHGRI)
- National Heart, Lung, and Blood Institute (NHLBI)
- National Institute on Aging (NIA)
- National Institute on Alcohol Abuse and Alcoholism (NIAAA)
- National Institute of Allergy and Infectious Diseases (NIAID)
- National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS)
- Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)
- National Institute on Deafness and Other Communication Disorders (NIDCD)
- National Institute of Dental and Craniofacial Research (NIDCR)
- National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK)
- National Institute of Environmental Health Sciences (NIEHS)
- National Institute of Mental Health (NIMH)
- National Institute on Minority Health and Health Disparities (NIMHD)
- National Institute of Nursing Research (NINR)
- National Institute of Neurological Disorders and Stroke (NINDS)
- National Library of Medicine (NLM)
- Division of Program Coordination, Planning and Strategic Initiatives, Office of Research Infrastructure Programs (ORIP)
- National Institute on Drug Abuse (NIDA)

Ruth L. Kirschstein National Research Service Award (NRSA) Individual Predoctoral Fellowship to Promote Diversity in Health-Related Research (Parent F31)

National Cancer Institute (NCI)

National Human Genome Research Institute (NHGRI)

National Heart, Lung, and Blood Institute (NHLBI)

National Institute on Aging (NIA)

Funding Opportunity Announcement (FOA) Number

PA-19-196

National Institute on Alcohol Abuse and Alcoholism (NIAAA)

National Institute of Allergy and Infectious Diseases (NIAID)

Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

National Institute on Drug Abuse (NIDA)

National Institute on Deafness and Other Communication Disorders (NIDCD)

National Institute of Dental and Craniofacial Research (NIDCR)

National Institute of Environmental Health Sciences (NIEHS)

National Institute of General Medical Sciences (NIGMS)

National Institute of Mental Health (NIMH)

National Institute on Minority Health and Health Disparities (NIMHD)

National Institute of Neurological Disorders and Stroke (NINDS)

National Library of Medicine (NLM)

National Institute of Nursing Research (NINR)

National Eye Institute (NEI)

Division of Program Coordination, Planning and Strategic Initiatives, Office of Research Infrastructure Programs (ORIP)

National Institute of Biomedical Imaging and Bioengineering (NIBIB)

For the purpose of this announcement, institutions are encouraged to recruit potential student participants from diverse backgrounds, such as:

- A. Individuals from racial and ethnic groups that have been shown by the National Science Foundation to be underrepresented in health-related sciences on a national basis (see data at http://www.nsf.gov/statistics/showpub.cfm?TopID=2&SubID=27) and the report Women, Minorities, and Persons with Disabilities in Science and Engineering). The following racial and ethnic groups have been shown to be underrepresented in biomedical research: Blacks or African Americans, Hispanics or Latinos, American Indians or Alaska Natives, Native Hawaiians and other Pacific Islanders. In addition, it is recognized that underrepresentation can vary from setting to setting; individuals from racial or ethnic groups that can be demonstrated convincingly to be underrepresented by the grantee institution should be encouraged to participate in this program. For more information on racial and ethnic categories and definitions, see NOT-OD-15-089.
- **B. Individuals with disabilities,** who are defined as those with a physical or mental impairment that substantially limits one or more major life activities, as described in the Americans with Disabilities Act of 1990, as amended. See NSF data at http://www.nsf.gov/statistics/wmpd/2013/pdf/tab7-5_updated_2014_10.pdf.

Ruth L. Kirschstein National Research Service Award (NRSA) Individual Fellowship for Students at Institutions with NIH-Funded Institutional Predoctoral Dual-Degree Training Programs (Parent

F30)

National Center for Complementary and Integrative Health (NCCIH)

National Cancer Institute (NCI)

National Eye Institute (NEI)

Funding Opportunity Announcement (FOA) Number

PA-19-191

National Human Genome Research Institute (NHGRI)

National Heart, Lung and Blood Institute (NHLBI)

National Institute on Aging (NIA)

National Institute on Alcohol Abuse and Alcoholism (NIAAA)

National Institute of Allergy and Infectious Diseases (NIAID)

Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

National Institute on Drug Abuse (NIDA)

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National Institute on Minority Health and Health Disparities (NIMHD) Office of Research Infrastructure Programs (ORIP)

Jaime S. Rubin, Ph.D.; http://grantscourse.columbia.edu

Ruth L. Kirschstein National Research Service Award (NRSA) Individual Fellowship for Students at Institutions Without NIHFunded Institutional Predoctoral Dual-Degree Training Programs

(Parent F30)

National Center for Complementary and Integrative Health (NCCIH)

National Cancer Institute (NCI)

National Heart, Lung and Blood Institute (N

Funding Opportunity Announcement (FOA) Number

PA-19-192

National Institute on Aging (NIA)

National Institute on Alcohol Abuse and Alcoholism (NIAAA)

National Institute of Allergy and Infectious Diseases (NIAID)

National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS)

Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)

National Institute on Drug Abuse (NIDA)

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National Institute of Mental Health (NIMH)

National Institute on Minority Health and Health Disparities (NIMHD)

National Institute of Neurological Disorders and Stroke (NINDS)

National Library of Medicine (NLM)

Office of Research Infrastructure Programs (ORIP)

National Human Genome Research Institute(NHGRI)

https://grants.nih.gov/grants/guide/pa-files/PA-19-192.html

National Institute of Biomedical Imaging and Bioengineering (NIBIB)

Jaime S. Rubin, Ph.D.; http://grantscourse.columbia.edu

Ruth L. Kirschstein National Research Service Award (NRSA) Individual Postdoctoral Fellowship (Parent F32)

National Center for Complementary and Integrative Health (NCCIH)

National Cancer Institute (NCI)

Funding Opportunity Announcement (FOA) Number

PA-19-188

National Eye Institute (NEI)

National Human Genome Research Institute (NHGRI)

National Heart, Lung and Blood Institute (NHLBI)

National Institute on Aging (NIA)

National Institute on Alcohol Abuse and Alcoholism (NIAAA)

National Institute of Allergy and Infectious Diseases (NIAID)

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National Institute of Mental Health (NIMH)

National Institute on Minority Health and Health Disparities (NIMHD)

National Institute of Nursing Research (NINR)

National Institute on Drug Abuse (NIDA)

National Institute of Biomedical Imaging and Bioengineering (NIBIB)

NINDS Ruth L. Kirschstein National Research Service Award (NRSA) for Training of Postdoctoral Fellows (F32) PAR-16-458

The purpose of this award is to support outstanding scientific training of highly promising postdoctoral candidates with outstanding mentors. Candidates are eligible to apply for support from this program from ~12 months prior to the start of the proposed postdoctoral position to within 12 months after starting in postdoctoral position. Based on the early timeframe of eligibility, and the discouragement of inclusion of preliminary data, this NINDS F32 seeks to foster early, goal-directed planning and to encourage applications for bold and/or innovative projects by the candidate that have the potential for significant impact. Applications are expected to incorporate strong training in quantitative reasoning and the quantitative principles of experimental design and analysis. Support by this program is limited to the first 3 years of a candidate's activity in a specific laboratory or research environment, so as to further encourage early fellowship application and timely completion of "mentored training" of the postdoctoral candidate in a single environment.

Individual Fellowships

Non-government, non-profit agencies

- Voluntary Health Organizations
- Professional Societies
- Private Foundations

American Heart Association Predoctoral Fellowship Program

- Cardiovascular function and disease and stroke
- "Pre-doctoral or clinical health professional degree students... enrolled in a post-baccalaureate Ph.D., M.D., D.O., D.V.M., Pharm.D., D.D.S., DrPH, or Ph.D. in nursing or equivalent clinical health science doctoral degree program"
- Basic science, clinical, behavioral, translational, population research, bioengineering, biotechnology, public health
- Funding: Stipend (NIH rate), Health insurance, Project support
- Award Duration: 1-2 years
- U.S. citizen, Permanent resident, Visa status (e.g., H1-B, F-1, J-1, O-1)

American Heart Association

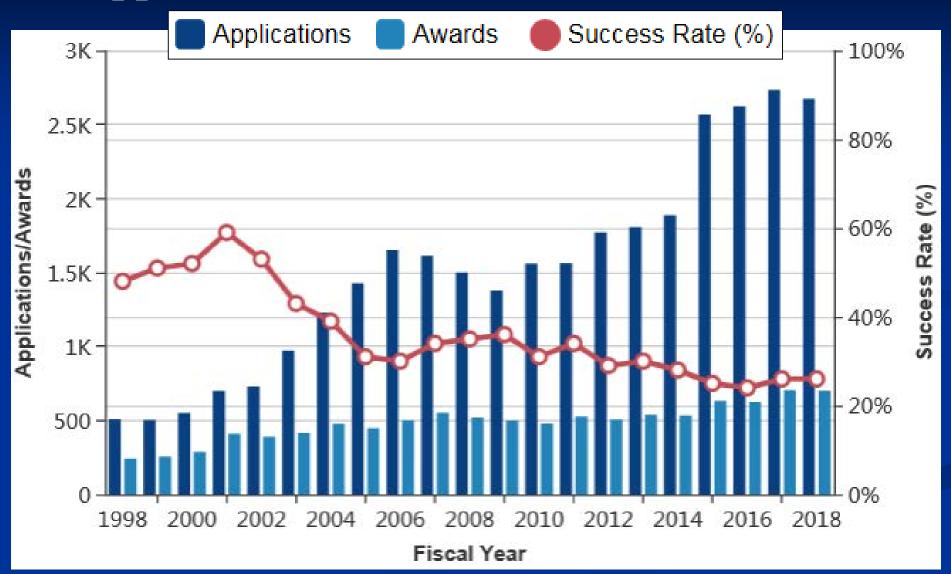
Postdoctoral Fellowship Program

- Cardiovascular function and disease and stroke research
- Basic, translational, clinical, behavioral, population, bioengineering, biotechnology, and public health
- Funding: Stipend, Health insurance, Project support
- Award Duration: 2 years, May apply for a second
 2-year award
- US citizenship/Permanent Residency not required

Topics to be Discussed

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- **■** Fellowship Application Review Criteria
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Pre-doc Fellowships (F31's) Applications, awards, and success rates





Pre-doc Fellowships (F31's) Applications, awards, and success rates

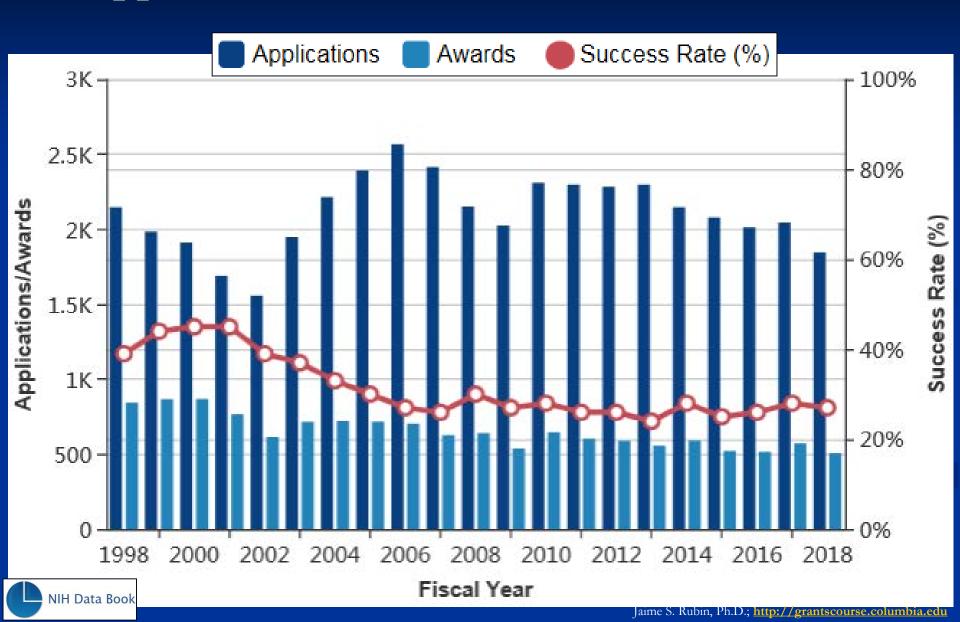
Fiscal Year	Activity Code	NIH Institute/Cent	Number of Applications Reviewed	Number of Applications Awarded	Success Rate	Total Funding =
2018	F31	NCCIH****	14	6	42.9%	\$214.547
2018	F31	NCI	367	104	28.3%	\$4,083,988
2018	F31	NEI	56	1/	30.4%	\$714,724
2018	F31	NHGRI	8	1	12.5%	\$38,767
2018	F31	NHLBI	214	89	41.6%	\$3,495,967
2018	F31	NIA	106	28	26.4%	\$1,088,187
2018	F31	NIAAA	71	30	42.3%	\$1,186,524
2018	F31	NIAID	325	38	11.7%	\$1,470,618
2018	F31	NIAMS	59	15	25.4%	\$600,490
2018	F31	NIBIB	15	5	33.3%	\$192,770
2018	F31	NICHD	128	39	30.5%	\$1,521,735
2018	F31	NIDA	95	28	29.5%	\$1,080,314
2018	F31	NIDCD	72	22	30.6%	\$829,291
2018	F31	NIDCR	28	18	64.3%	\$630,826
2018	F31	NIDDK	172	53	30.8%	\$1,993,026
2018	F31	NIEHS	56	13	23.2%	\$471,710
2018	F31	NIGMS	282	34	12.1%	\$1,253,228
2018	F31	NIMH	219	53	24.2%	\$2,136,692
2018	F31	NIMHD***	20	5	25.0%	\$210,722
2018	F31	NINDS	324	85	26.2%	\$3,302,734
2018	F31	NINR	35	14	40.0%	\$595,847
2018	F31	NLM	6	2	33.3%	\$94,048
2018	F31	OD ORIP	1	0	0.0%	\$0
2018	F31	Activity Total	2673	699	26.2%	\$27,206,755

Pre-doc Fellowships (F31's) Applications, awards, and success rates

Fiscal Year	Activity Code	NIH Institute/Cent	Number of Applications Reviewed	Number of Applications Awarded	Success Rate ¹	Total Funding +
2009	F31	NCI	64	29	45.3%	\$1,000,758
2010	F31	NCI	72	28	38.9%	\$956,309
2011	F31	NCI	71	28	39.4%	\$969,748
2012	F31	NCI	333	95	28.5%	\$3,327,984
2013	F31	NCI	372	118	31.7%	\$4,268,106
2014	F31	NCI	349	109	31.2%	\$3,907,028
2015	F31	NCI	336	97	28.9%	\$3,497,746
2016	F31	NCI	302	86	28.5%	\$3,183,545
2017	F31	NCI	343	84	24.5%	\$3,180,018
2018	F31	NCI	367	104	28.3%	\$4,083,988



Post-doc Fellowships (F32's) Applications, awards, and success rates



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Application Due Dates

Activity Codes	Program Description	Cycle I Due Date	Cycle II Due Date	Cycle III Due Date
F Series Fellowships	Individual National Research Service Awards (Standard)	April 8	August 8	December 8
(including F31 Diversity –	(see NRSA Training Page)			
NOT-OD-17-029)				
new, renewal, resubmission				

Application Due Dates

All Activity Codes Cited
Above

new, renewal,
resubmission, revision

AlDS and AIDS-Related Applications

*Effective. Sept 5, 2015 - N/A for SBIR/STTR Applications using
Standard Due Dates

NOTE: See Key Dates section of funding opportunity announcement to determine if AIDS dates apply.

Application Due Dates

Review and Award Cycles

	Cycle I	Cycle II	Cycle III
Application Due Dates	January 25 - May 7	May 25 - September 7	September 25 - January 7
Scientific Merit Review	June - July	October - November	February - March
Advisory Council Round	August or October *	January	May
Earliest Project Start Date	September or December *	April	July

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National Institutes of Health

National Cancer Institute National Eye Institute National Heart, Lung, & Blood Institute National Human Genome Research Inst National Institute on Aging

National Inst of Alcohol Abuse & Alcoholism

National Inst of Allergy & Infectious Diseases National Inst of Arthritis & Musculoskeletal & Skin Diseases National Institute of Child Health & Human Development National Inst on Deafness & other Communication Disorders

National Inst of Dental & Craniofacial Research National Institute of Diabetes & Digestive & Kidney Diseases National Institute on Drug Abuse National Institute of Environmental Health Sciences

National
Institute of
General
Medical Sciences

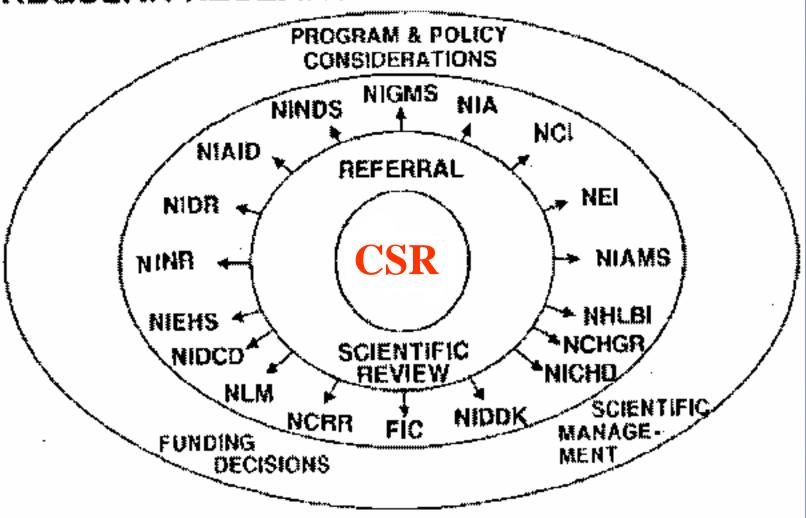
National Inst of Biomedical Imaging and Bioengineering

National Institute of Mental Health National Inst of Neurological Dis and Stroke National Institute of Nursing Research National Library of Medicine National Ctr for Complementary & Integrative Health National Inst on Minority Health & Health Disparities

Office of Research Infrastructure Center for Scientific Review

Adapted from: NIH (DRG) - Peer Review of NIH Research Grants Applications

NIH REFERRAL AND REVIEW SYSTEM REGULAR RESEARCH GRANT APPLICATIONS



Center for Scientific Review - Integrated Review Groups (IRG's) -

- AIDS and Related Research
- Biobehavioral and Behavioral Processes
- Biological Chemistry and Macromolecular Biophysics
- Biology of Development and Aging
- Bioengineering Sciences and Technologies
- Brain Disorders and Clinical Neuroscience
- Cell Biology

- Cardiovascular and Respiratory Sciences
- Digestive, Kidney, and Urological Sciences
- Emerging Technologies and Training Neurosciences
- Endocrinology, Metabolism,
 Nutrition and Reproductive
 Sciences
- Genes, Genomes and Genetics
- Healthcare Delivery and Methodologies

- Immunology
- Infectious Diseases and Microbiology
- Integrative, Functional, and Cognitive Neuroscience
- Interdisciplinary MolecularSciences and Training
- Molecular, Cellular, and Developmental Neuroscience
- Musculoskeletal, Oral and Skin Sciences

- Oncology 1 BasicTranslational
- Oncology 2 TranslationalClinical
- Population Sciences and Epidemiology
- Risk, Prevention and Health Behavior
- Surgical Sciences, Biomedical Imaging, and Bioengineering
- Vascular and Hematology

Infectious Diseases and Microbiology IRG [IDM]

- Bacterial Pathogenesis Study Section [BACP]
- Clinical Research and Field Studies of Infectious Diseases Study Section [CRFS]
- Drug Discovery and Mechanisms of Antimicrobial Resistance Study Section [DDR]
- Host Interactions with Bacterial Pathogens Study Section [HIBP]
- Pathogenic Eukaryotes Study Section [PTHE]
- Vector Biology Study Section [VB]
- Virology A & B Study Sections [VIRA & VIRB]
- Topic-specific R03, R21, and R15 review committees
- 2 Small Business Study Sections [IDM -10] and (IDM-12)
- Infectious Diseases and Microbiology Fellowship Study Section [F13]

Center for Scientific Review	Study Section*	Study Section Description	Fellowship Study Sections	Scientific Review Officer
	F01A	Fellowships: Brain Disorders and	d Related Neurosciences	Dr. Vilen Movsesyan
	F01B	Fellowships: Learning and Memo Neurosciences	ory, Language, Communication and Related	Dr. Susan Gillmor
	F02A	Fellowships: Behavioral Neurosc	cience	Dr. Mei Qin
	F02B	Fellowships: Sensory and Motor	Neurosciences, Cognition and Perception	Dr. Sharon Low
	F03A	Fellowships: Neurodevelopment	t, Synaptic Plasticity and Neurodegeneration	Dr. Mary Schueler
	F03B	Fellowships: Biophysical, Physio Neuroscience	logical, Pharmacological and Bioengineering	Dr. Sussan Paydar
	F04A	Fellowships: Chemistry, Biochen	nistry and Biophysics A	Dr. Mike Radtke
	F04B	Fellowships: Chemistry, Biochen	nistry and Biophysics B	Dr. Sudha Veeraraghavan
	F05-D	Fellowships: Cell Biology, Develo	ppmental Biology, and Bioengineering	Dr. Alexander Gubin
	F05-U	Fellowships: Cell Biology, Develo	opmental Biology, and Bioengineering	Dr. Raj Krishnaraju
	F06	Fellowships: Endocrinology, Met	tabolism, Nutrition and Reproductive Sciences	Dr. Elaine Sierra-Rivera
	F07	Fellowships: Immunology and A	rea	Dr. Liying Guo
	F08	Fellowships: Genes, Genomes ar	nd Genetics	Dr. Lystranne Maynard Smith
	F09A	Fellowships: Oncological Science	5	Dr. Reigh-Yi Lin
	F09B	Fellowships: Oncological Science	5	Dr. Jian Cao
	F09C	Fellowships: Oncological Science	5	Dr. Sarita Sastry
	F10A	Fellowships: Physiology and Pat	hobiology of Cardiovascular and Respiratory Systems	Dr. Richard Schneiderman
	F10B	Fellowships: Musculoskeletal an	d Oral Sciences, Imaging, Surgery, and Informatics	Dr. Anshumali Chaudhari
	F13	Fellowships: Infectious Diseases	and Microbiology	Dr. Tamara McNealy
	F16	Fellowships: Risk, Prevention an	d Health Behavior	Dr. Martha Faraday
	F17	Fellowships: AIDS and AIDS Rela	ited Applications	Dr. Jingsheng Tuo
	F18	Fellowships: Epidemiology and F	Population Sciences	Dr. Ramona Gianina Dumitrescu
tps://public	.csr.nih.gov/StudySect	ions/Fellowship	Jaime S. Rubin, Ph.D.	; http://grantscourse.columbia.edu

Fellowships: Infectious Diseases and Microbiology – **F13**

The F13 Special Emphasis Panel reviews fellowship applications involving virology and viral pathogenesis, bacteriology and bacterial pathogenesis, fungal pathogenesis, parasitology and parasitic diseases, vector biology, the innate and adaptive host responses to these microbes and viruses, and the development of anti-infective agents to treat and prevent infectious disease.

CENTER FOR SCIENTIFIC REVIEW SPECIAL EMPHASIS PANEL ZRG1 11/05/18 - 11/06/18 Meeting Roster

Notice of NIH Policy to All Applicants: Meeting rosters are provided for information purposes only. Applicant investigators and institutional section members about an application before or after the review. Failure to observe this policy will create a serious breach of integrity in the NOT-OD-15-106, including removal of the application from immediate review.

CHAIRPERSON

VEDANTAM, GAYATRI, PHD PROFESSOR SCHOOL OF ANIMAL AND COMPARATIVE BIOMEDICAL SCIENCES UNIVERSITY OF ARIZONA TUCSON, AZ, 85721

MEMBERS

ADAMSON, AMY L, PHD PROFESSOR DEPARTMENT OF BIOLOGY UNIVERSITY OF NORTH CAROLINA - GREENSBORO GREENSBORO, NC. 27402

ALLRED, DAVID R, PHD PROFESSOR DEPARTMENT OF INFECTIOUS DISEASES AND IMMUNOLOGY UNIVERSITY OF FLORIDA GAINESVILLE, FL, 326110880

ALTO, NEAL MATHEW, PHD ASSOCIATE PROFESSOR DEPARTMENT OF MICROBIOLOGY SOUTHWESTERN MEDICAL CENTER Notice of NIH Policy to All Applicants: Meeting rosters are provided for information purposes only. Applicant investigators and institutional officials must not communicate directly with study section members about an application before or after the review. Failure to observe this policy will create a serious breach of integrity in the peer review process, and may lead to actions outlined in NOT-OD-14-073 and NOT-OD-15-106 including removal of the application from immediate review.

Overlaps with Closely Related Study Sections

- Immunology and Area (F07) in the area of infectious diseases. Fellowship applications that focus on advancing the understanding of the immune response against microbial pathogens may be reviewed in F07. Fellowship applications that focus on microbial pathogenesis may be reviewed in F13.
- Genes, Genomes and Genetics (F08) in the area of microbiology. Fellowship applications that focus on bacterial genetics, DNA replication, recombination/repair, transcriptional regulation, and evolution may be reviewed in F (08). Fellowship applications that explore bacterial physiology or pathogenesis may be reviewed in F13.
- Risk, Prevention and Health Behavior (F16) in the area of infectious diseases. Fellowship applications that focus on the epidemiology, implementation science, health informatics or ethical issues as related to infectious diseases may be reviewed in F16. Fellowship applications that address infectious disease transmission and molecular epidemiology may be reviewed in F13.

SUBMIT QUERY CLEAR QUERY	NIH RePORT	Fiscal Year (FY): 0 [Current FY is 2019	Active Projects	SELECT
RESEARCHER AND ORGANIZATION				
(lcard in PI names I/Project Leader names OR PI Profile IDs	City: 🕖	Use '%' for wildcard	
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Organization: Please enter at	LOOKUP	Country: 🕖		SELECT
	Begins with Exact	Congressional District: (2)		SELECT
Department Type:	SELECT	DUNS Number: 🕖		
Organization Type:	SELECT			
TEXT SEARCH Text Search (Logic): (2)		Search in 🕜 Limit Project searc	ch to Limit Publication search to	
And Or Advanced		Projects Project Title Publications Project Terms News Project Abstra		
PROJECT DETAILS				
Project Number/ Project Number Number/ Project Number Num	lcard in project number, e.g. %R21%	Agency/Institute/Center: 0		SELECT
	project numbers/application IDs	NIH Spending Category: 🕖		SELECT
		Funding Mechanism: 🕖		SELECT
1 R01	CA 811099 01 A1S1	1 Award Type: 🕜		SELECT
Program Officer (PO): (Last Name, First Name) Use '%' for wild	leard	Activity Code: 🙆		SELECT

Enter several Program Officer (PO) names

Standing CSR study sections only

F13 Infectious Diseases and Mice SELECT

Study Section: (2)

Th	ere were	125 results	s matching your	r search criteria .	Records per page 100	*			Sho	ow/Hide Search Cri	iteria 🐱
Click on the column header to sort the results					12				Par	ge 1 of 2 Next La	ast ▶ ₩
	100	Type; Act	Activity Code;	; Project: Admin IC, Serial No.; Year: Suppor	100	ment				EV TOUR COMM	0.1
	T Act	Project	Year Sub#	Project Title	Contact PI/ Project Leader	Organization	FY	Admin IC	Funding IC	FY Total Cost by IC	Similar Projects
	<u>5 F32</u>	Al126892	03	THE ROLE OF EPISTATIC NETWORKS IN SHAPING ADAPTIVE LANDSCAPES	ACEVEDO ASHLEY	ROCKEFELLER UNIVERSITY	2018	NIAID	NIAID	\$61,174	
0	5 F32	Al126660	03	RNA-PROTEIN INTERACTIONS DURING HEPATITIS C VIRUS INFECTION	ADAMS, REBECCA LYNN	YALE UNIVERSITY	2018	NIAID	NIAID	\$61,174	
	5 F30	EY025981	1 03	HEPARANASE IN HERPETIC KERATITIS	AGELIIIIS ALEX	UNIVERSITY OF ILLINOIS AT CHICAGO	2018	NEI NEI	NEI	\$49,524	
	<u>5 F31</u>	<u>Al133952</u>	2 02	CORONAVIRUS ANTIVIRAL NUCLEOSIDE ANALOGS: INHIBITION AND REDUCED SUSCEPTIBILITY	AGOSTINI MARIA	VANDERBILT UNIVERSITY	2018	NIAID	NIAID	\$29,206	
0	1 <u>F31</u>	Al138409	<u>01</u>	CATCHING THE PARPS: DEFINING THE ANTIVIRAL MECHANISMS OF ADP-RIBOSYLATION IN SINV INFECTION	AGUILAR, EDUARDO G.	ROCKEFELLER UNIVERSITY	2018	8 NIAID	NIAID	\$44,524	
	<u>5</u> <u>F31</u>	Al129357	<u>02</u>	MOLECULAR DETERMINANTS OF HUMAN ANTIBODY-MEDIATED INHIBITION OF HUMAN NOROVIRUS	ALVARADO, GABRIELA	VANDERBILT UNIVERSITY	2018	8 NIAID	NIAID	\$29,206	
	1 F32	GM130003	<u>3 01</u>	MOLECULAR MECHANISMS OF SPORE GERMINATION	AMON JEREMY HAVID	HARVARD MEDICAL SCHOOL	2018	NIGMS	NIGMS	\$58,654	

How to Direct a Grant Application to the Appropriate Study Section

- Review research areas of Study Section
- Review roster of Study Section members
 - Do <u>not</u> contact reviewers
- Review Study Section's funded grants in NIH Reporter
- Discuss with colleagues in similar research area
- Request via Assignment Request Form in Application

View Burden Statement	PHS	S Assignment Requ	iest Form	OMB Number: 0925-0001 Expiration Date: 3/31/2020
Funding Opportunity Number:				
Funding Opportunity Title:				
Awarding Component Assignment Reque	st (optional) Awardi	ng Component A	\ssignment Req	u est (optional)
If you have a preference for an awarding con requests will be considered; however, assign			below to identify the appropri	ate short abbreviation and enter it below. All
Awarding Components: https://grants.nih.go	//grants/phs_assignment_info	ormation.htm#AwardingCompo	nents	
	First Choice	Second Choice	Third Choice	
Assign to Awarding Component:				
Do Not Assign to Awarding Component:				
Study Section Assignment Request (option	Study Sect	ion Assignme	nt Request (o	ptional)
If you have a preference for study section as: enter it below. Remove all hyphens, parenthe				Review Group or Special Emphasis Panel) and always be honored.
Study Sections: https://grants.nih.gov/grants	/phs_assignment_information	n.htm#StudySection		
	First Choice	Second Choice	Third Choice	_
Assign to Study Section: Only 20 characters allowed				
Do Not Assign to Study Section: Only 20 characters allowed				

PHS Assignment Request Form List individuals who should not review your application and why (optional) Only 1000 characters allowed Identify scientific areas of expertise needed to review your application (optional) Note: Please do not provide names of individuals Identify Scientific areas of expertise needed to review your application (optional) Note: Please do not provide names of individuals Expertise: Only 40 characters allowed

Topics to be Discussed

- NIH Fellowship Overview
 - Career Timeline
 - Funding Opportunity Announcements
 - Funding Levels and Success Rate
- Fellowship Application Review Process
 - Application Submission, Review, and Award Timeline
 - Institutes and Study Sections
 - Scoring System: Impact Scores
 - Institute Funding Paylines
- Fellowship Application Review Criteria
- Components of a Fellowship Application
- Approaches for Competitive Applications

National Institutes of Health Grant Application Kit Scientific Review Group **Pink Sheet:** Summary Statement Reviewers' **Comments** Funding Institute/Center Applicant

Center for Scientific Review

NIH's Evaluation System

9-point rating scale (1=exceptional; 9=poor)

Impact	Score	Descriptor	Strengths/Weaknesses
	1	Exceptional	Strengths
High Impact	2	Outstanding	
	3	Excellent	
	4	Very Good	
Moderate Impact	5	Good	
	6	Satisfactory	
	7	Fair	
Low Impact	8	Marginal	
	9	Poor	Weaknesses

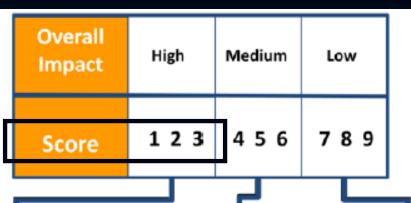
Impact	Score	Descriptor	Additional Guidance on Strengths/Weaknesses
	1	Exceptional	Exceptionally strong with essentially no weaknesses
High	2	Outstanding	Extremely strong with negligible weaknesses
	3	Excellent	Very strong with only some minor weaknesses
	4	Very Good	Strong but with numerous minor weaknesses
Medium	5	Good	Strong but with at least one moderate weakness
	6	Satisfactory	Some strengths but also some moderate weaknesses
	7	Fair	Some strengths but with at least one major weakness
Low	8	Marginal	A few strengths and a few major weaknesses
	9	Poor	Very few strengths and numerous major weaknesses

Minor Weakness: An easily addressable weakness that does not substantially lessen impact
Moderate Weakness: A weakness that lessens impact
Major Weakness: A weakness that severely limits impact

FELLOWSHIPS & CAREER AWARDS

Overall Impact:

The likelihood that the proposed training (F) or career development (K) will enhance the candidate's potential for a productive, independent scientific research career in a health-related field.



Evaluating Overall Impact

Consider the 5 criteria (weighting based on reviewer's judgment):

Fs

- Applicant
- Sponsor(s)
- Research Training Plan
- Training Potential
- Institutional Environment & Commitment

Ks

- Candidate
- Career Development Plan/Goals*
- Research Plan
- Mentor(s)**
- Environment & Institutional Commitment

for the candidate who has high potential for developing into a productive, independent scientist. May have some or no weaknesses in the criteria.

e.g. Proposes training

or career development

of high value/benefit

e.g. Proposes training or career development of high or moderate value/benefit for the candidate who has high or moderate potential for further development, but weaknesses in the criteria reduce the overall impact to medium.

e.g. Proposes training or career development of moderate value/benefit for the candidate who shows moderate potential. May have some weaknesses in the criteria.

e.g. Proposes training or career development of moderate or low value/benefit for the candidate who has moderate or low potential for further development. Weaknesses in the criteria reduce the overall impact to low.

e.g. Proposes training or career development of low value/benefit for the candidate who shows low potential. May have some weaknesses in the criteria.

and other score influences, e.g. human subjects, animal welfare, inclusion plans, and biohazards

*K05 and K24: Plan to Provide Mentoring

**K02: Consultants/Collaborators

5 is a good, medium-impact application. The entire scale (1-9) should always be considered.

Impact Score

- Preliminary Impact Scores determine which applications discussed at study section
- Impact Score given by each member of the study section
- Overall Impact Score (for discussed applications): Mean of reviewers' Impact Scores <u>x10</u>
- 81 possible overall Impact Scores (10 90, whole numbers)

Initial Review Group or Study Section Actions

Discussed applications:

- Receives Impact/Priority Scores
- Receives Scores for individual core review criteria

■ Not Discussed

- Receives Scores for individual core review criteria
- Not Recommended for Further Consideration (NRFC)
- Other: e.g. Deferred

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Fellowship Payline: NIAID

Grant Type	Payline	Status	Description
F30	18 overall impact/priority score	Interim	NRSA Individual Predoctoral M.D./Ph.D. or Other Dual- Doctoral Degree Fellowships
F31	18 overall impact/priority score	Interim	NRSA Individual Predoctoral Fellowships
F32	20 overall impact/priority score	Interim	NRSA Individual Postdoctoral Fellowships

Fellowship Payline: NIAID

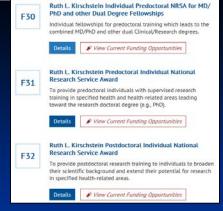
	Overall Impact Score					
FY .	F30	F31	F32			
2018	20	19	20			
2017	15	17	19			
2016	14	21	20			
2015	18	22	20			
2014	13	30	25			
2013	N/A	30	25			
2012	N/A	24	22			
2011	N/A	26	24			
2010*	N/A	28	28			

Fellowship Payline: NHLBI

Payline			
Grant Program	Grant Program Description	Percentile	Priority Score
R01	Research Project Grant	16	N/A
R01 ESI	Early Stage Investigators	26	N/A
K awards	Career Development Awards	N/A	32
F30	Pre-doctoral NRSA	N/A	20
F31, F32, F33	Pre- and Post-doctoral NRSA	39	N/A

Topics to be Discussed

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Application Review Information from the Fellowship Funding Opportunity Announcements "A fellowship application has a research project that is integrated with the training plan. The review will emphasize the applicant's potential for a productive career, the applicant's need for the proposed training, and the degree to which the research project and training plan, the sponsor(s), and the environment will satisfy those needs."

Overall Impact/Merit Score

Reviewer's assessment "that the fellowship will enhance the applicant's <u>potential</u> for, and <u>commitment</u> to, a productive <u>independent scientific research career</u>..."

Overall Impact/Merit Write a paragraph summarizing the factors that informed your Overall Impact score.

Reviewers will consider each of the five review criteria below in the determination of scientific and technical merit, and give a separate score for each.
1. Fellowship Applicant
Strengths
•
Weaknesses
•
2. Sponsors, Collaborators, and Consultants
Strengths
•
Weaknesses
•
3. Research Training Plan
Strengths
•
Weaknesses
•

4. Training Potential	
Strengths	
•	
Weaknesses	
•	
	,
5. Institutional Environment & Commitment to Training	
Strengths	
•	
Weaknesses	
•	

1. Fellowship Applicant

- "Are the applicant's <u>academic record</u> and <u>research</u> <u>experience</u> of high quality?
- Does the applicant have the <u>potential</u> to develop into an <u>independent</u> and <u>productive researcher</u>?
- Does the applicant demonstrate <u>commitment</u> to a <u>research career</u> in the future?"
- Post-docs: "Does the research project reflect a significant contribution of the candidate to the originality of the project idea, approach and/or hypotheses?"

1. Fellowship Applicant

- <u>Dual-Degree (MD/PhD)</u>: Are the applicant's <u>interests</u> consistent with a career as a physician-scientist or other clinician-scientist?
- Dual-Degree (MD/PhD): Does the applicant have the potential to develop into an independent, productive contributor to biomedical, behavioral or clinical science as a physician-scientist or other clinician-scientist?
- Dual-Degree (MD/PhD): Does the applicant demonstrate commitment to a career as a physician-scientist or other clinician-scientist?

- "Are the sponsor(s') research qualifications (including recent publications) and track record of mentoring individuals at a similar stage appropriate for the needs of the applicant?
- Is there evidence of a <u>match</u> between the <u>research</u> and <u>clinical interests (if applicable)</u> of the applicant and the sponsor(s)?
- Do(es) the sponsor(s) demonstrate an understanding of the applicant's training needs as well as the ability and commitment to assist in meeting these needs?"

- "Is there evidence of <u>adequate research funds</u> to support the applicant's proposed research project and training for the duration of the research component of the fellowship?
- If a <u>team of sponsors</u> is proposed, is the team structure <u>well justified</u> for the mentored training plan, and are the <u>roles of the individual members</u> appropriate and clearly defined?"

- "Are the qualifications of any collaborator(s) and/or consultant(s), including their complementary expertise and previous experience in fostering the training of fellows, appropriate for the proposed project?
- If the applicant is proposing to gain experience in a clinical trial as part of his or her research training, is there evidence of the appropriate expertise, experience, resources, and ability on the part of the sponsor(s) to guide the applicant during the clinical trial research experience?"

Post-docs: "Does the sponsor's research and training record, as well as mentoring statement, indicate that the applicant will receive <u>outstanding</u> <u>training</u> in the proposed research area and have the opportunity to <u>publish high quality papers</u> and <u>present research data at national meetings</u> as the project progresses?"

3. Research Training Plan

- "Is the proposed <u>research</u> project of <u>high scientific</u> quality, and is it <u>well integrated</u> with the proposed research <u>training</u> plan?"
- "Based on the sponsor's description of his/her active research program, is the applicant's proposed research project sufficiently distinct from the sponsor's funded research for the applicant's career stage?"
- "Is the research project <u>consistent</u> with the applicant's <u>stage of research development?</u>
- Is the proposed <u>time frame feasible</u> to accomplish the proposed training?"

3. Research Training Plan

- "If proposed, will the <u>clinical trial</u> experience <u>contribute</u> to the proposed project and/or the applicant's <u>research training?</u>"
- Post-docs: "Does the training plan provide adequate opportunities to present and publish research findings and meet with scientists in the community at national meetings as the work progresses?"
- Post-docs: "Will the training plan provide the professional skills needed for the applicant to transition to the next stage of his/her research career?"

3. Research Training Plan

Dual-Degree (MD/PhD): "Is the training plan well-reasoned, and likely to provide an effective, integrated research and clinical training experience and ease the transitions between the phases of the dual-degree program?

4. Training Potential

- "Are the proposed research project and training plan likely to provide the applicant with the requisite individualized and mentored experiences in order to obtain appropriate skills for a research career?
- Does the training plan take advantage of the <u>applicant's strengths</u> and <u>address gaps</u> in needed skills?
- Does the training plan document a clear <u>need for</u>, and <u>value of</u>, the proposed training?
- Does the proposed training have the potential to serve as a sound foundation that will clearly enhance the applicant's ability to develop into a productive researcher?"

4. Training Potential

- Dual-Degree (MD/PhD): Are the proposed research project and research and clinical training plan likely to provide the applicant with an integrated perspective and appropriate skills for a physician-scientist or other clinician-scientist?
- Dual-Degree (MD/PhD): If applicable to the dual-degree program, are appropriate opportunities for electives, early and longitudinal clinical experiences, or other enhanced clinical training available to the applicant? Are appropriate opportunities available to ease the transition to clinical clerkships and for research electives during clinical training?

5. Institutional Environment & Commitment to Training

- "Are the <u>research facilities</u>, <u>resources</u> (e.g., equipment, laboratory space, computer time, subject populations, clinical training settings), and <u>training opportunities</u> (e.g. seminars, workshops, professional development opportunities) <u>adequate and appropriate</u>?
- Is the <u>institutional environment</u> for the applicant's scientific development of <u>high quality</u>?
- Is there appropriate <u>institutional commitment</u> to fostering the applicant's <u>mentored training</u>?"

5. Institutional Environment & Commitment to Training

Post-docs: "Does the institutional and/or lab environment provide appropriate and sufficient opportunities for the applicant to gain the professional skills needed for a successful research career?"

5. Institutional Environment & Commitment to Training - <u>Dual-Degree (MD/PhD)</u>

• Given the integrated nature of the training program, will appropriate advising be available to the applicant as he/she transitions between the research and clinical components of the integrated training program and to the next career stage?

5. Institutional Environment & Commitment to Training - <u>Dual-Degree (MD/PhD)</u>

- Is there appropriate institutional commitment to fostering the applicant's integrated training as a physician-scientist or other clinician-scientist?
- Does this commitment extend to support the applicant's research and training, if needed, for the duration of the proposed award?

Additional Review Criteria

Evaluated for the overall impact score, but not given an individual score

- Protections for Human Subjects
- Inclusion of Women, Minorities, and Individuals Across the Lifespan (as of Jan 25, 2019)
- Vertebrate Animals
- Biohazards
- Resubmissions
 - Response to previous reviewers' comments and subsequent changes made to the proposal

Additional Review Considerations

Not given an individual score and not considered for the overall impact score

- Training in the Responsible Conduct of Research
 - Address required components
- Select Agent Research
- Resource Sharing Plans
 - 1) Data Sharing Plan; 2) Sharing Model Organisms; and
 - 3) Genomic Data Sharing Plan
- Budget and Period of Support

Topics to be Discussed

- NIH Fellowship Overview
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- **■** Fellowship Application Review Criteria
- Components of a Fellowship Application
- Approaches for Competitive Applications

FORMS VERSION E SERIES

Released: September 25, 2017 Revised: December 7, 2018



FELLOWSHIP INSTRUCTIONS FOR NIH AND OTHER PHS AGENCIES

SF424 (R&R) APPLICATION PACKAGES

GENERAL APPLICATION GUIDE FOR NIH AND OTHER PHS AGENCIES

SF424 (R&R) - Forms Version E

Released: September 25, 2017

Revised: December 7, 2018

G.100 How to use the Application Instructions

G.110 Application Process

G.120 Significant Changes

G.130 Program Overview

G.200 SF 424 (R&R) Forms

G.210 PHS 398 Cover Page Supplement Form

G.220 R&R Other Project Information Form

G.230 Project Performance Site Location(s) Form

G.240 Senior/Key Person Profile

G.430 - PHS Fellowship Supplemental Form

The PHS Fellowship Supplemental Form is used only for fellowship applications.

This form includes fields to upload several attachments including the Specific Aims, Research Strategy, and Applicant Background and Goals.

The attachments in this form, together with the rest of your application, should include sufficient information needed for evaluation of the project and fellow, independent of any other documents (e.g., previous application). Be specific and informative, and avoid redundancies.



Quick Links

Introduction

1. Introduction to Application (for Resubmission applications)



Project Summary/Abstract For all Fellowship (F) Application	ns 30 lines of text
Project Narrative Page Limits	Three sentences
Introduction to Resubmission or Revision Application (when applicable)	1
Applicant's Background and Goals for Fellowship Training	6
Specific Aims	1
Research Strategy	6
Respective Contributions	1
Selection of Sponsor and Institution	1
Training in the Responsible Conduct of Research	1
Sponsor and Co-Sponsor Statements	6
Letters of Support from Collaborators, Contributors, and Consultants	6
Description of Institutional Environment and Commitment to Training	2
Note: This page limit includes the Additional Educational Information required for F30 and F31 applications.	
Applications for Concurrent Support (when applicable)	1
Biographical Sketch	5

Introduction PHS Fellowship Supplemental Form		
Introduction to Application (for Resubmission applications)		Add Attachment
Fellowship Applicant Section 2. * Applicant's Background and Goals		Add Attachment
for Fellowship Training		Add Attachment
Research Training Plan Section		
3. * Specific Aims		Add Attachment
4. * Research Strategy		Add Attachment
5. * Respective Contributions		Add Attachment
6. * Selection of Sponsor and Institution		Add Attachment
Progress Report Publication List (for Renewal applications)		Add Attachment
8. * Training in the Responsible Conduct of Research		Add Attachment
Sponsor(s), Collaborator(s), and Con	nsultant(s) Section	
9. Sponsor and Co-Sponsor Statements		Add Attachment
 Letters of Support from Collaborators, Contributors, and Consultants 		Add Attachment
Institutional Environment and Commitment to Training Section		
11. Description of Institutional Environment and Commitment to Training		Add Attachment

Introduction

1. Introduction to Application (for Resubmission applications)

Fellowship Applicant Section

2. * Applicant's Background and Goals for Fellowship Training

Research Training Plan Section

- 3. * Specific Aims
- 4. * Research Strategy
- 5. * Respective Contributions
- 6. * Selection of Sponsor and Institution
- 7. Progress Report Publication List (for Renewal applications)

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11. Description of Institutional Environment and Commitment to Training		Add Attachment

2. Applicant's Background and Goals for Fellowship Training (6 pages)

A. Doctoral Dissertation and Research Experience:

- In chronological order, summarize previous research and scientific experiences, (not courses)
 - Include results and conclusions, publications, presentations
 - Relationship to proposed fellowship
- Graduate students:
 - Undergraduate research
 - Graduate lab rotations
 - Preliminary description of doctoral thesis research
- Post-doctoral fellows:
 - Predoctoral research
 - Previous post-doctoral research

- 2. Applicant's Background and Goals for Fellowship Training (6 pages)
- B. Training Goals and Objectives:
- Overall career goals
- How the fellowship will help you reach these goals
- Identify specific "skills, theories, conceptual approaches, etc." that will be acquired or expanded upon during the fellowship period
 - Didactics (e.g. statistics), Research and Technical Skills,
 Career Development Skills (e.g. presentations, writing)
- How the fellowship will "facilitate your transition to the next career stage"

- 2. Applicant's Background and Goals for Fellowship Training (6 pages)
- C. Activities Planned Under this Award:
- Fellowship activities (by year)
 - Specific for applicant and integrated with proposed research project
 - e.g., Research, Didactics, Teaching
 - Skills and techniques to be learned
 - Relate non-research activities (e.g., professional development) to the proposed research training
- Timeline of research training and related activities
- Estimate % of time devoted to each activity

Introduction PHS Fellowship Supplemental Form		
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3. Specific Aims (1 page)

- Goals of the proposed research
- Expected outcome(s)
- Impact of proposed research on your field(s)
- Specific objectives
 - Test of a <u>stated hypothesis</u>
 - Create a novel design
 - Solve a specific problem
 - Challenge an existing paradigm or clinical practice
 - Address a critical barrier to progress in the field
 - Develop new technology

4. Research Strategy (6 pages total)

- (1) Significance
 - "Importance of the problem or critical barrier to progress that the proposed project addresses"
 - "How the proposed project will <u>improve scientific</u> knowledge, technical capability, and/or clinical practice"
 - "How the concepts, methods, technologies, treatments, services, or preventative interventions that drive this <u>field</u> will be changed if the proposed aims are achieved"

4. Research Strategy (6 pages total)

- (2) Approach
 - "Overall strategy, methodology, and analyses to be used"
 - "How the <u>data</u> will be collected, analyzed, and interpreted"
 - "Potential problems [challenges], alternative strategies, and benchmarks for success"
 - Strategies "to establish <u>feasibility</u>, and address the management of any <u>high risk aspects</u>"
 - Preliminary studies and results (including those collected by others in the research group)
 - Relevant <u>previous experiences</u>
 - Additional: Clinical trials, hESC's, hazardous situations

Introduction PHS Fello	wship Supplemental For	m
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11. Description of Institutional Environment and Commitment to Training		Add Attachment

5. Respective Contributions (1 page)

- "Describe the <u>collaborative process</u> between you and your sponsor/co-sponsor(s) in the development, review, and editing of this <u>Research Training Plan</u>."
- "Discuss the <u>respective roles</u> in accomplishing the proposed <u>research</u>."

Introduction PHS Fellowship Supplemental Form		
Introduction to Application (for Resubmission applications)		Add Attachment
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11. Description of Institutional Environment and Commitment to Training		Add Attachment

6. Selection of Sponsor and Institution (1 page)

- "Explain why the sponsor, co-sponsor (if any), and institution were selected to accomplish the research training goals."
- Post-doctoral Fellows: "Training is expected to broaden a fellow's perspective. Therefore, if you are requesting training at either your doctorate institution or any institution where you have been training for more than a year, you must explain why further training at that institution would be valuable."

Introduction PHS Fello	wship Supplemental For	m
Introduction to Application (for Resubmission applications)		Add Attachment
Fellowship Applicant Section		
2. * Applicant's Background and Goals for Fellowship Training		Add Attachment
Research Training Plan Section		
3. * Specific Aims		Add Attachment
4. * Research Strategy		Add Attachment
5. * Respective Contributions		Add Attachment
6. * Selection of Sponsor and Institution		Add Attachment
7. Progress Report Publication List (for Renewal applications)		Add Attachment
8. * Training in the Responsible Conduct of Research		Add Attachment
Sponsor(s), Collaborator(s), and Cor	nsultant(s) Section	
9. Sponsor and Co-Sponsor Statements		Add Attachment
 Letters of Support from Collaborators, Contributors, and Consultants 		Add Attachment
Institutional Environment and Commitment to Training Section		
 Description of Institutional Environment and Commitment to Training 		Add Attachment

8. Training in the Responsible Conduct of Research (1 page)

- Five required instructional components:
 - Format: on-line only is not acceptable
 - Subject Matter: e.g., required topics
 - Faculty Participation
 - e.g., Role of the Mentor and other faculty
 - Duration of Instruction (e.g., contact hours)
 - Frequency of Instruction
 - At every career stage, at least once every four years
 - Document any prior instruction
- https://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-019.html

Introduction PHS Fello	wship Supplemental For	m
Introduction to Application (for Resubmission applications)		Add Attachment
Fellowship Applicant Section 2. * Applicant's Background and Goals		Add Attachment
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 Description of Institutional Environment and Commitment to Training 		Add Attachment

9. Sponsor(s) and Co-Sponsor(s) (6 pages)

- A. Research Support Available
 - Table containing detailed information on all current and pending research and research training support available to the applicant (contingency plan if there is a gap in funding)
 - Role of Sponsor(s) in the proposed integrated research and training plan.
 - If more than one Sponsor, then include a plan describing their individual and coordinated roles and efforts

- 9. Sponsor(s) and Co-Sponsor(s) (6 pages)
- B. Sponsor's / Co-Sponsor's Previous Fellows / Trainees
 - Total number of predoctoral and postdoctoral fellows previously mentored.
 - For representative five, provide information on time in sponsor's research group and on current positions

- 9. Sponsor(s) and Co-Sponsor(s) (6 pages)
- C. Training Plan, Environment, Research Facilities
 - Fellow-specific individualized research training plan
 - Didactics, courses, seminars, workshops
 - Research environment (e.g. core facilities, equipment, laboratory, computers, research patient population)
 - Relationship of the Fellow's research/career goals to the proposed research training plan
 - Specific new skills and techniques to be acquired
 - Professional development (e.g. grant writing, presentation skills)
 - How training plan will facilitate the applicant's <u>transition</u> to the next career stage

- 9. Sponsor(s) and Co-Sponsor(s) (6 pages)
- D. Number of Fellows/Trainees to be Supervised
 During the Fellowship
 - Number of pre- and postdoctoral fellows to be mentored during the award period
- E. Applicant's Qualifications and Potential for a Research Career
 - Relate applicant's academic record and previous research experiences to the proposed research training opportunity
 - Describe how the proposed research training plan, and sponsor's expertise as a mentor, will "assist in producing an independent researcher"

Introduction PHS Fellowship Supplemental Form			
Introduction to Application (for Resubmission applications)		Add Attachment	
Fellowship Applicant Section			
2. * Applicant's Background and Goals for Fellowship Training		Add Attachment	
Research Training Plan Section			
3. * Specific Aims		Add Attachment	
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Sponsor(s), Collaborator(s), and Cor	nsultant(s) Section		
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10. Letters of Support from Collaborators, Contributors, and Consultants		Add Attachment	
Institutional Environment and Comm	nitment to Training Section		
11. Description of Institutional Environment and Commitment to Training		Add Attachment	

10. Letters of Support from Collaborators, Contributors, and Consultants (6 pages)

- Collaborators, consultants, advisors, director of core facility, statistician, provider of unique research resource, instructor of unique technique/technology, referring physician, etc.
- Letter describing their role and contribution to the applicant's proposed project, research training, career development, and future career goals
- Signed on letterhead stationery

Introduction PHS Fello	wship Supplemental For	m
Introduction to Application (for Resubmission applications)		Add Attachment
Fellowship Applicant Section 2. * Applicant's Background and Goals		
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Institutional Environment and Comm	itment to Training Section	
11. Description of Institutional Environment and Commitment to Training		Add Attachment

- Description of a robust research program relevant to the applicant's areas of interest
- Opportunities for collaborations, courses, journal clubs, seminars, workshops, presentations, etc.
- Appropriate facilities and resources available for academic, research, and career development activities
- Refer to "Facilities/Resources" and "Sponsor's Statement" sections

- Instruction in "rigorous experimental design to ensure reproducibility"
- Institution-wide resources
 - Students: Office of Graduate Affairs
 - Post-doctoral Fellows: Office of Post-doctoral Affairs

- Additional Educational Information F31 applications
 - Description of graduate/degree-granting program
 - Structure of the program
 - Description of and time line of required milestones
 - Courses, Teaching, Clinical requirements, (e.g., F30), Qualifying exams
 - Average time to degree over the past 10 years
 - Applicant's progress in relation to the program's time line
 - Frequency and method by which the program formally monitors and evaluates a student's progress
 - Usually provided by the graduate program's director/department chair (include name and title)

- Additional Educational Information F30 applications
 - Clinical didactic programs during the graduate research years
 - Programs to facilitate the transition from graduate/ research training (PhD) to the clinical training (MD) of the dualdegree program
 - Research-related programs during the clinical years of the dual-degree program



ADVISORY COMMITTEE TO THE DIRECTOR

Biomedical Workforce Task Force

Improving graduate student and postdoctoral training

- A. Put individual development plans in place for all trainees
- B. Reduce the length of graduate training
- C. Provide F30 and F31 awards from all Institutes/Centers
- D. Increase postdoctoral stipends and consider policies on benefits
- E. Increase support for K99/R00 and shorten eligibility period
- F. Increase support for Early Independence Awards

Advanced Notice of Coming Requirements for Formal Instruction in Rigorous Experimental Design and Transparency to Enhance Reproducibility: NIH and AHRQ Institutional Training Grants, Institutional Career Development Awards, and Individual Fellowships

Individual fellowship applications will be required to summarize in the research strategy section plans to ensure rigorous, well-controlled experiments that consider all relevant biological variables, use authenticated biological and chemical resources, and apply appropriate statistical tests for data analyses. In addition more detailed description of instruction in rigorous experimental design to ensure reproducibility will be required in the section on Institutional Environment and Commitment to Training. The impacted programs will include the following individual fellowships: F05, F30, F31, F32, F37, F38, and F12.



ADVISORY COMMITTEE TO THE DIRECTOR

Biomedical Workforce Task Force

Improving graduate student and postdoctoral training

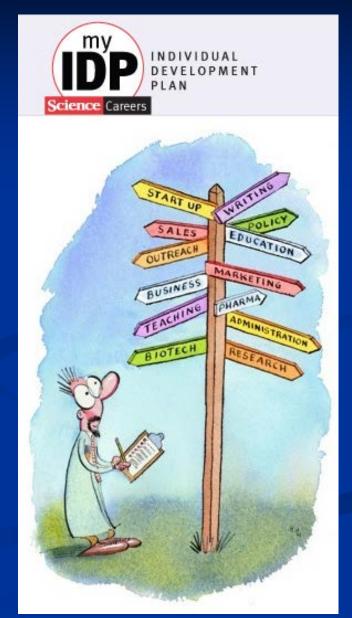
- A. Put individual development plans in place for all trainees
- B. Reduce the length of graduate training
- C. Provide F30 and F31 awards from all Institutes/Centers
- D. Increase postdoctoral stipends and consider policies on benefits
- E. Increase support for K99/R00 and shorten eligibility period
- F. Increase support for Early Independence Awards

Individual Development Plans

- "NIH encourages institutions to assist graduate students and postdoctoral researchers to achieve their career goals within the biomedical research workforce through the use of Individual Development Plans (IDPs)"
- "is required for all T, F, K... and other awards or award components designed to provide training and professional development opportunities for graduate students and postdoctoral researchers."

Individual Development Plans

- Science Careers: myIDP:
- "Exercises to help you examine your skills, interests, and values
- A list of 20 scientific career paths with a prediction of which ones best fit your skills and interests
- A tool for setting strategic goals for the coming year, with optional reminders to keep you on track
- Articles and resources to guide you through the process'



RESEARCH & RELATED Other Project Information

OMB Number: 4040-0001 Expiration Date: 6/30/2016

1. Are Human Subjects Involved? Yes No
1.a. If YES to Human Subjects
Is the Project Exempt from Federal regulations? Yes No
If yes, check appropriate exemption number.
If no, is the IRB review Pending? Yes No
IRB Approval Date:
Human Subject Assurance Number:
2. Are Vertebrate Animals Used? Yes No
2.a. If YES to Vertebrate Animals
Is the IACUC review Pending? Yes No 12. Other Attachments
IACUC Approval Date:
Animal Welfare Assurance Number:
3. Is proprietary/privileged information included in the application? Yes No
4.a. Does this Project Have an Actual or Potential Impact - positive or negative - on the environment? Yes No
4.b. If yes, please explain:
4.c. If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed? Yes No
4.d. If yes, please explain:
5. Is the research performance site designated, or eligible to be designated, as a historic place? Yes No
5.a. If yes, please explain:
Does this project involve activities outside of the United States or partnerships with international collaborators? Yes No
6.a. If yes, identify countries:
6.b. Optional Explanation:
7. Project Summary/Abstract
8. Project Narrative Delete Attachment View Attachment
9. Bibliography & References Cited Delete Attachment View Attachment View Attachment
10. Facilities & Other Resources Delete Attachment View Attachment
11. Equipment Delete Attachment View Attachment
▶ 12. Other Attachments Add Attachments Delete Attachments View Attachments

12. Other Attachments

<u>Certification Letter for Predoctoral Fellowships to</u> <u>Promote Diversity</u>

- Institutional letter certifying eligibility of the applicant for the diversity fellowship program
 - Signed by institutional official
 - e.g., Graduate Affairs Office, Grants Office
 - On institutional letterhead
- Do not include "sensitive personal information" (e.g., specific racial/ethnic background, disability)

RESEARCH & RELATED Other Project Information

OMB Number: 4040-0001 Expiration Date: 10/31/2019

1. Ar	re Human Subjects Involved? Yes No
1.a	a. If YES to Human Subjects
	Is the Project Exempt from Federal regulations? Yes No
	If yes, check appropriate exemption number. 1 2 3 4 5 6 7 8
	If no, is the IRB review Pending? Yes No
	IRB Approval Date:
	Human Subject Assurance Number:
2. Ar	re Vertebrate Animals Used? Yes No
2.a	11 120 10 10 10 10 10 10 10 10 10 10 10 10 10
	Is the IACUC review Pending? Yes No 10. Facilities & Other Resources
	IACUC Approval Date:
	Animal Welfare Assurance Number:
3. Is	proprietary/privileged information included in the application?
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4.b. l	If yes, please explain:
	If this project has an actual or potential impact on the environment, has an exemption been authorized or an environmental assessment (EA) or environmental impact statement (EIS) been performed? Yes No
4.d. l	If yes, please explain:
5. Is	the research performance site designated, or eligible to be designated, as a historic place?
5.a. l	If yes, please explain:
6. D	Does this project involve activities outside of the United States or partnerships with international collaborators?
6.a. I	If yes, identify countries:
6.b. (Optional Explanation:
7. Pr	roject Summary/Abstract Add Attachment Delete Attachment View Attachment
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10. F	Facilities & Other Resources Add Attachment Delete Attachment View Attachment
11. E	Equipment Delete Attachment View Attachment View Attachment
12. 0	Other Attachments

10. Facilities & Other Resources

Scientific/Technical Resources

- Facilities to be used for the conduct of the proposed research
 - Laboratory
 - Animal
 - Computer
 - Office
 - Clinical [patient/research subject populations]
 - Other: Core facilities [e.g. research pharmacy, biostatistics, technical cores (microscopy, biomarkers), biohazards]
- Discuss how the proposed studies will benefit from unique features of the scientific environment, subject populations, or collaborative arrangements

10. Facilities & Other Resources

Career Development Resources

- More complete descriptions of programs referenced in:
 - 2. Applicant's Background and Goals for Fellowship
 Training C. Activities Planned Under this Award
 - 6. Selection of Sponsor and Institution
 - 9. Sponsor(s) and Co-Sponsor(s) C. Training Plan, Environment, Research Facilities
 - 11. Description of Institutional Environment and Commitment to Training

10. Facilities & Other Resources

Career Development Resources

- Career development programs
 - Institutional (e.g. Office of Postdoctoral or Graduate Affairs)
 - Departmental
 - Professional societies
- Formal degree programs and other didactics
 - Degree program
 - Scientific courses: e.g., Statistics
 - Career Development courses: e.g., Funding & Grantsmanship
- Workshops, webinars, other training programs

Letters of Reference

- Include a list of Referees in the Cover Letter
- 3-5 Letters of References are required
- Individuals who know you well from a research perspective (qualifications, training, and interests)
- Individuals not directly involved in the research project
- Mentor(s) <u>cannot</u> be one of the confidential Letters of Reference ("letter" in main body of application)
- Helpful to include at least one referee who is not in your current department/institution

Letters of Reference

- "Research ability and potential to become an independent researcher
- Adequacy of scientific and technical background
- Written and verbal communication abilities including ability to organize scientific data
- Quality of research endeavors or publications to date, if applicable
- Perseverance in pursuing goals
- Evidence of originality
- Need for further research experience and training
- Familiarity with research literature"

21. Cover Letter Attachment

Add Attachment

21. Cover Letter Attachment

- Must include
- Title of application
- Title of funding opportunity announcement
- List of Referees (name and affiliation)
- Administrative document not seen by reviewers

GENERAL APPLICATION GUIDE FOR NIH AND OTHER PHS AGENCIES

SF424 (R&R) - Forms Version E

Released: September 25, 2017 Revised: December 7, 2018

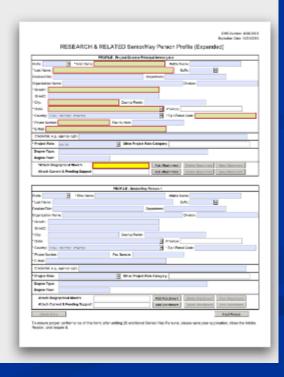
G.240 - R&R Senior/Key Person Profile (Expanded) Form

The R&R Senior/Key Person Profile (Expanded) Form is used for all grant applications, and allows the collection of data for all senior/key persons associated with the project. Some information for the PD/PI may be prepopulated from the SF424 (R&R) form. See instructions in <u>G.200 - SF 424 (R&R) Form</u> if these fields are empty.



Quick Links

- Profile Project Director/Principal Investigator
- Instructions for a Biographical Sketch
- Profile Senior/Key Person 1
- Additional Senior/Key Person Profile(s)



Biosketch Format Pages, Instructions and Samples

Form Name	Form Number	Description	How to Access	Instructions	Additional Information	Updated Date
Biographical Sketch Format Page (non- fellowship)		Prepare biographical sketches for applications and progress reports for non-fellowship applications and awards.	Blank biosketch format page – non- fellowship	Biosketch instructions – non-fellowship	Sample of non- fellowship biosketch Try SciENcv to help you develop your biosketch and automatically format it according to NIH requirements.	September 2017
Biographical Sketch Format Page (fellowship)		Prepare biographical sketches for applications and progress reports for fellowship applications and awards.	Blank biosketch format page - fellowship	Biosketch instructions – fellowship	Sample for Predoctoral Fellowship Sample for Postdoctoral Fellowship Try SciENcv to help you develop your biosketch and automatically format it according to NIH requirements.	September 2017

Biographical Sketch

- Keep Yours Current!
- Include all information that is relevant even if mentioned/discussed elsewhere in the application
- "Stand alone" document that conveys to the reviewers everything you want them to know about you
- Make sure that the NIH Biosketches others give you for your application are current and programmatically appropriate
- 5 pages in length total
- https://grants.nih.gov/grants/how-to-apply-application-guide/forms-e/general/g.240-r&r-seniorkey-person-profile-(expanded)-form.htm#Instructions

Biosketch for Fellowship Applications

- "Special" NIH Biosketch for Fellowship (F) applicants
- C. Contributions to Science
 - High School Research:
 - Undergraduate Research:
 - Graduate Research:
 - Post-doctoral Research:
- Additional section Scholastic Performance (courses and grades) [D. Additional Information]
- https://grants.nih.gov/grants/forms/biosketch.htm

BIOGRAPHICAL SKETCH

Provide the following information for the Senior/key personnel and other significant contributors. Follow this format for each person. DO NOT EXCEED FIVE PAGES.

NAME: Robertson-Chang, Leilani

eRA COMMONS USER NAME (credential, e.g., agency login): RobertsonL

POSITION TITLE: Graduate Student Research Assistant

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	START DATE MM/YYYY	END DATE MM/YYYY	FIELD OF STUDY
Swarthmore College	BA	08/2008	05/2012	Biology
UC San Diego	PHD	08/2012	05/2018	Molecular Biology

A. Personal Statement

My long term research interests involve the development of a comprehensive understanding of key developmental pathways and how alterations in gene expression contribute to human disease. My academic training and research experience to date have provided me with an excellent background in molecular biology and microbiology. While in high school I was awarded an NIH Diversity Supplement award to work as a research technician for two summers in Dr. Indira Creative's lab at the University of Hawaii. As an undergraduate at Swarthmore College, I conducted research with Dr. Xavier Factor on the mechanisms of action of a new class of antibiotics. This resulted in a co-authorship publication, as well as an invitation to present a poster at the annual Antibiotica meeting in Denver, Colorado. For my graduate training at UC San Diego, I have moved into the fields of genetics and biochemistry by studying the regulation of transcription in yeast, under Dr. Tanti Auguri. Dr. Auguri is an internationally recognized leader in the field of yeast genetics and has an extensive record for training predoctoral and postdoctoral fellows. Along with giving me new conceptual and technical training, the proposed training plan outlines a set of career development activities and workshops – e.g. public speaking, literature analysis, biomedical ethics, and career options. For my initial project I am currently developing a novel protocol for the purification for components of large transcription complexes which I hope to submit as a first author publication in the next few months. As a native Hawaiian, I am the first in my family to graduate from college so I am excited to keep pushing forward with my education. Overall, I feel that my choice of sponsor, research project, and the training I will get from this fellowship will give me a solid foundation for my long-term goal to become an academic researcher.

- Robertson-Chang L, Factor X. Testing the ability of antibiotic Gen Y to kill Gramnegative bacteria. Antibiotica annual meeting; 2011 September; Denver, CO.
- Robertson-Chang L, Auguri T. A tandem affinity purification tag approach allows for isolation of interacting proteins in Saccharomyces cerevisiae. Yeast Genetics and Molecular Biology Meeting; 2013 September; Seattle, WA.

B. Positions and Honors

Positions and Employment

2007 - 2008	Lab Technician, University of Hawaii
2012 -	Graduate Student Research Assistant, UC San Diego

Other Experience and Professional Memberships

2007 -	Member, Association for Women in Science
2009 -	Member, Sigma Xi

Honors

2007 - 2008	Diversity Supplement, National Institutes of Health
2008	Scholarship, Daughters of Hawaii Society
2008 - 2012	Scholarship, National Merit Scholarship Program
2012	Paula F. Laufenberg award for best senior project in the Biology Department, Swarthmore College

C. Contribution to Science

- 1. High School Research: I spent two summers doing research in the laboratory of Dr. Indira M. Creative at University of Hawaii, funded by a NIH Diversity Supplement award. Dr. Creative has developed several new anti-fungal drugs that might protect against skin infections. Over the course of two summers I set up in vitro cultures of skin cell lines and conducted a wide range of toxicity assays. We were excited to find that one of the new agents showed almost no toxicity, even at fairly high doses. Dr. Creative is now testing the drug in animals exposed to different types of fungal infections, including Candida albicans.
 - Footman B, Eisser JK, Robertson-Chang L, Creative IM. Testing XXH for toxicity in vitro. University of Hawaii Research Symposium; 2008 May; Manoa, HI.
- 2. Undergraduate Research: I was part of a project in the laboratory of Dr. Xavier Factor at Swarthmore College. Dr. Factor's laboratory studies the mechanisms of action of antibiotics. During my time in his lab I was looking at how a new antibiotic, Gen Y, is able to unravel bacterial DNA. My contributions to this work were included in a publication recently accepted in Cellular and Molecular Biology. The work was particularly exciting because it looks like the mechanism used by Factor Y might be completely novel, making it a potential candidate for treating patients infected with antibiotic resistant organisms. Dr. Factor was recently awarded a patent for this new drug.
 - Nieman PY, Robertson-Chang L, Factor X. Gen Y: a novel antibiotic with DNA unwinding abilities. Cellular and Molecular Biology. In press.
 - Robertson-Chang L, Factor X. Testing the ability of antibiotic Gen Y to kill Gramnegative bacteria. Antibiotica annual meeting; 2011 September; Denver, CO.

- 3. Graduate Research: My ongoing predoc research is focused on transcriptional gene regulation in Saccharomyces cerevisiae. I believe the results from my research will likely be highly relevant to human health as they will provide new details into the workings of complex biological systems, which will allow for further extrapolations into the development of certain diseases and their progression. I am currently developing a novel protocol for the purification of components of large transcription complexes which I hope to submit as a first author publication in the next few months.
 - Robertson-Chang L, Auguri T. A tandem affinity purification tag approach allows for isolation of interacting proteins in Saccharomyces cerevisiae. Yeast Genetics and Molecular Biology Meeting; 2013 September; Seattle, WA.

D. Additional Information: Research Support and/or Scholastic Performance

Scholastic Performance

YEAR	COURSE TITLE	GRADE
	SWARTHMORE COLLEGE	
2008	Cellular and Molecular Biology	Α
2008	Foundations of Chemical Principles	Α
2009	Organismal and Population Biology	В
2009	Omics	В
2008	First Year Seminar: Nation and Migration	Α
2009	Statistics, Probability, and Reliability	Α
2009	Calculus I	В
2009	General Physics I	В
2009	Introductory Chemistry	Α
2009	Organic Chemistry	В

YEAR	COURSE TITLE	GRADE	
	UC SAN DIEGO		
2012	Seminar in Genetics	Р	
2013	Statistics for the Life Sciences	Р	
2013	Ethics in Biological Research	CRE	
2014	Seminar in Physiology and Behavior	Р	

Except for the scientific ethics course, UC San Diego graduate courses are graded P (pass) or F (fail). Passing is C plus or better. The scientific ethics course is graded CRE (credit) or NC (no credit). Students must attend at least seven of the eight presentation/discussion sessions for credit.

NIH "F" Sites of Interest

- Program Announcements for Dual Degree: F30, Pre-Doc: F31 and F31-Diversity, and Post-doc: F32 grant mechanisms
 - https://researchtraining.nih.gov/programs/fellowships
- Fellowship Application Instructions https://grants.nih.gov/grants/how-to-apply-application-guide.html https://grants.nih.gov/grants/how-to-apply-application-guide/forms-e/general/g.100-how-to-use-the-application-instructions.htm
- Reference Letters
 - https://grants.nih.gov/grants/how-to-apply-application-guide/submission-process/reference-letters.htm

NIH "F" Sites of Interest

- Application Page Limits
 https://grants.nih.gov/grants/how-to-apply-application-guide/format-and-write/page-limits.htm
- NIH Biosketch Format Pages, Instructions and Samples
 https://grants.nih.gov/grants/forms/biosketch.htm
- Instruction in the Responsible Conduct of Research https://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-019.html

NIH "F" Sites of Interest

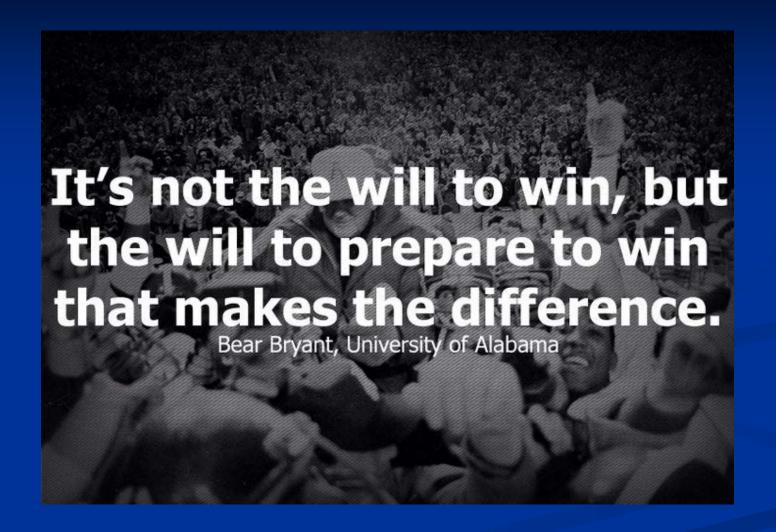
- NIH Research Training and Career Development Programs
 https://researchtraining.nih.gov/
- Research Training and Career Development
 Programs at Specific Institutes
 https://researchtraining.nih.gov/institute

Topics to be Discussed

- NIH Fellowship Overview
 - **■** Career Timeline
 - Funding Opportunity Announcements
 - Funding Levels and Success Rate
- Fellowship Application Review Process
 - Application Submission, Review, and Award Timeline
 - Institutes and Study Sections
 - Scoring System: Impact Scores
 - Institute Funding Paylines
- Fellowship Application Review Criteria
- Components of a Fellowship Application
- Approaches for Competitive Applications

Approaches for Competitive Applications

- Identify Funding
- Prepare to Complete the Grant Application
- Complete the Grant Application



Prepare to Complete the Grant Application

- Speak with Agency Program Officer
- Speak with colleagues who are/were awardees
- Review funded applications if possible
- Review agency's review criteria
- Identify what will make the application more competitive
 - Research and/or career development arrangements
 - Access to core facilities/research resources
- Strengthen "Preliminary Work/ Pilot Data"
- Who will write confidential letters of reference?

Research and Career Development Arrangements

- Multiple Principle Investigators (research awards)
- Multiple Mentors (mentored awards)
- Advisors (mentored awards)
- Co-investigators/Collaborations
- Subcontracts to other institutions
- Multidisciplinary/Interdisciplinary

Prepare to Complete the Grant Application

- Identify and meet with Co-investigators,
 Collaborators, Consultants, Advisors
 - Identify roles and responsibilities
 - Administrative requirements
 (e.g. if other countries/institutions are involved)
- Identify necessary core facilities and other research resources
- Meet with research administrators
- Human subjects, lab animals, and any other regulatory issues?

Approaches for Competitive Applications

- Identify Funding
- Prepare to Complete the Grant Application
- Complete the Grant Application

- Review the application instructions
- Identify the different <u>components</u>
- Create a <u>checklist</u> (sequence/date of completion)
- Create an <u>outline</u>
 - Content, Length of section (vis a vis page limits)
- Identify and delegate <u>responsibilities</u> for the different components
 - Technical/Scientific
 - Administrative e.g. budget
 - Regulatory
 - Draft letters of collaboration/support

- Confirm page limits for each component
- Create a <u>schedule</u> for any required <u>meetings</u>
- Determine:
 - Shared computer drive/folders
 - Naming of files (e.g., by version # or date)
 - Track changes?
 - Font, margin, format of literature citation
- Set a <u>firm time-line</u> for each responsibility
 - Writing milestones
 - Absolute deadline date for final compilation

- Read <u>instructions</u>
- Never assume that reviewers "will know what you mean"
- Refer to literature thoroughly and thoughtfully
- Explicitly state the <u>rationale</u> of the proposed investigation ("<u>the hypothesis of my study is...</u>")
- Discuss <u>limitations</u> and potential "<u>challenges</u>" and how these will be addressed (e.g., "<u>alternate approaches</u>")
- Include well-designed tables and figures
- Present an <u>organized</u>, lucid write-up (use an <u>outline</u>)
- Ask colleagues ("pseudo reviewers") to <u>review</u> and <u>comment</u>

- Read instructions
- Never assume that reviewers "will know what you mean"
- Refer to literature thoroughly and thoughtfully
- Explicitly state the rationale of the proposed investigation ("the hypothesis of my study is...")
- Discuss limitations and potential "challenges" and how these will be addressed (e.g., "alternate approaches")
- Include well-designed tables and figures
- Present an organized, lucid write-up (use an outline)
- Ask colleagues ("pseudo reviewers") to review and comment

Include Well-Designed Tables and Figures

- Include explanatory caption with the figure (not buried in text)
- Not overly complicated
- Informative, even if printed in black and white
- Easy for the reviewers to read
- Tips:
 - Bold label in text (e.g., **Fig. 4**) so it's easier for reviewers to locate relevant text for individual Figure
 - Try to have Figure and relevant text on the same page

Timeline for Specific Aims and Benchmarks/Milestones of Research Progress

Benchmarks/ Milestones	Year 1	Year 2	Year 3
Summary of Specific Aim 1a			
Summary of Specific Aim 1b		\Longrightarrow	
Summary of Specific Aim 2a		——	
Summary of Specific Aim 2b			\Rightarrow
Summary of Specific Aim 3			$\qquad \Longrightarrow \qquad$

Don't Do the Minimum

- "Optional": Does not mean don't do
 - PHS Assignment Request Form
 - e.g., Request an Institute, specific Study Section, reviewers' areas of expertise
- When appropriate, fill the page $-\frac{1}{2}$ of page of text means you have nothing more to say
 - "Description of Institutional Environment"

Anticipate Questions and Answer them before they are asked

Not everything that can be counted counts. Not everything that counts can be counted.

Research Plan Section

- 3. Specific Aims
- 4. * Research Strategy

Fellowship Applicant Section

Applicant's Background and Goals for Fellowship Training

Investigator

- Competent
- Enthusiastic
 - Thorough
- Professional

Personal Statement/ Candidate's Background

When describing a previous research experience:

- What was the hypothesis/scientific question?
- Why was the study important?
- What were the findings and conclusions?
- What were your role and responsibilities?
- What did you learn and accomplish?
 - "Intellectual aspects"
 - Do not focus on technical aspects
- Cite any resulting publications
- Describe any honors/awards and conference/workshop presentations

Jaime S. Rubin, Ph.D.; http://grantscourse.columbia.edu

Possible Problems Specific for Mentored Fellowship & Career Development Awards Mentor

- Too many other responsibilities (e.g. administrative, clinical)
- Too many other mentees (e.g. students, post-docs)
- Not appropriate scientifically
- Too junior
- Limited experience as a mentor
- Limited funds to support proposed research

Possible Problems Specific for Mentored Fellowship & Career Development Awards Institution

- Limited scientific/technical resources
- Limited career development opportunities
- Limited opportunities for career advancement

Elements of a Good Proposal

- Feasible
- Relevant
- Unique
- Innovative
 - Clear
 - Brief
- Consistent

Common Problems with Grant Applications from New Investigators

- Does not address/follow funding agency's mission, specific instructions, budget limits, etc.
- Overly ambitious (e.g., \$, time, expertise, career level)
- Fishing expedition
- Not hypothesis driven
- Descriptive, not mechanistic project
- Study design (e.g., Control groups(s), Unfocussed)
- Issues with Statistical aspects/Power analysis/Data analysis
- No or insufficient preliminary data
- Does not adequately describe access to "research resources"
- Unrealistic budget
- Methodologies beyond the expertise of investigator or research team
- Not independent of previous mentor's research

NIH: one round of applications

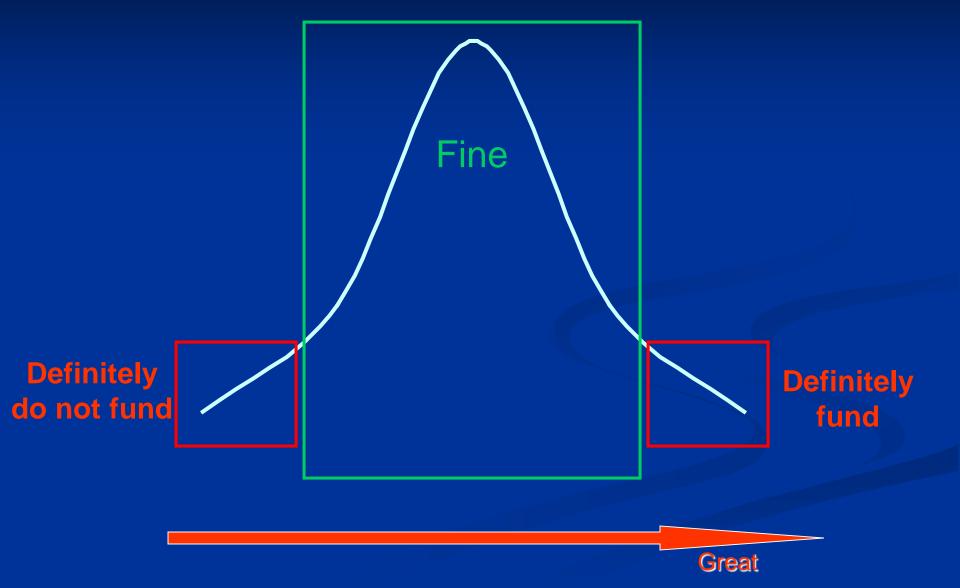


National Institutes of Health Grant Application Kit Scientific Review Group **Pink Sheet:** Summary Statement Reviewers' **Comments** Funding Institute/Center Applicant

Center for Scientific Review



Bell Curve of Reviewer's Grant Applications





Poor Statistics



Research Resources not Adequately Described







Career Development/ Research Training Plan not Comprehensive











Figure Caption Font too Small













All Components of the Application are as Strong as Possible



Good Luck!